# STATE OF CALIFORNIA AGRICULTURAL LABOR RELATIONS BOARD

### **BOARD MEETING MINUTES**

ALRB Headquarters Office Board Conference Room 1325 J Street, Suite 1900 Sacramento CA 95814-2944

# **September 4, 2013**

Time: 10:00 a.m.

Members Present: Chairwoman Shiroma, Members Rivera-Hernandez and Mason

General Counsel: General Counsel Torres-Guillén

Staff Present: Executive Secretary Barbosa, Board Counsel Heyck, Robinson and

Inciardi; and Analyst Massie

Others Present: Daniel Rounds, Principal Consultant, Senate Office of Research;

Barry Bedwell, President, California Grape and Tree Fruit League

#### **OPEN SESSION**

- **1. Approval of Minutes**: The minutes for the August 21<sup>st</sup> meeting will be considered for approval at the next meeting.
- **2. Public Comment:** Mr. Bedwell expressed his appreciation for the invitation to visit the new offices and is looking forward to an open house.
- **3.** Chair's Report: Mr. Bedwell and Mr. Rounds were welcomed to the meeting. Member Rivera-Hernandez was congratulated on her 5-0 approval by the Senate Rules Committee on August 28<sup>th</sup>.
- **4. General Counsel's Report:** The General Counsel provided her report on the status of hearings, unfair labor practice charges, complaints, settlements and compliance activities in the regions.
- 5. Executive Officer Report:

#### **ELECTION REPORT**

# NOTICE OF INTENT TO TAKE ACCESS (NA)

Filing Date	Filing Party	Employer	
08/01/13	UFW	Martines Fruits & Vegetables, Inc The notice of intent to take access (NA) has now expired and no new NA has been filed.	
08/16/13	Gerawan Employees for a Free Election	Gerawan Farming, Inc.	

# NOTICE OF INTENT TO ORGANIZE (NO)

Filing Date	Filing Party	Employer	10% Met
08/01/13	UFW	Martines Fruits & Vegetables, Inc.	Yes
08/16/13	Gerawan Employees		
	for a Free Election	Gerawan Farming, Inc.	No

On September 3, 2013, Silvia Lopez, on behalf of Gerawan Employees for a Free Election, filed a request for review of the Regional Director's decision to dismiss the Notice of Intent to Organize because the group had turned in petition pages with signatures that do not meet the law's definition of an authorization card. The question whether the new group submitted signatures of 10 percent of the company's workforce was not reached.

#### **PENDING ELECTION MATTERS:**

#### D'Arrigo Bros. of California, 2010-RD-004-SAL

On November 2, 2010, agricultural employee Alvaro Santos filed a decertification petition with the Salinas Regional Office seeking the ouster of the incumbent representative United Farm Workers (UFW) at D'Arrigo Bros. of California. The employer is located in Monterey and Imperial Counties and has 1,665 employees. An election was held on November 17, 2010, in Spreckles, Gonzalez and Calipatria, CA. The regional director impounded the ballots pending investigation of an unfair labor practice charge filed by the incumbent union UFW. The UFW filed objections to the election on November 24, 2010. On February 24, 2011, the Salinas Regional Director issued a complaint against D'Arrigo Bros. alleging that, since October 27, 2010 and continuing, the employer initiated, participated in, aided, and/or gave support to the decertification campaign against the certified union UFW. On March 11, 2011, the Executive Secretary issued his order on the UFW's election objections. Neither party filed a request for review. On March 15, 2011, the Executive Secretary consolidated the election objections and unfair labor practice complaint as each had the same or some of the same basis for the petition and complaint. A prehearing conference was held on May 27-28, 2011 and a hearing was held from June 13, 2011 to September 7,

2011. The post-hearing briefs were filed January 23, 2011. On June 15, 2012, the Administrative Law Judge (ALJ) issued his decision in this matter. The employer filed exceptions to the ALJ's decision on August 28, 2012. On November 9, 2012, the Respondent/Employer, UFW and General Counsel filed their answering brief. On April 11, 2013, the Board issued its decision dismissing the decertification petition and setting aside the election. The Board also rejected the UFW's contention that referral to MMC is an available remedy in an unfair labor practice case. By this decision, the UFW retains its status as the certified bargaining representative of D'Arrigo's agricultural employees. On April 23, 2013, the employer filed a motion seeking reconsideration of the Board's decision in 39 ALRB No. 4, or reopening of the record, and a stay of the Board's decision. On May 3, 2013, the UFW and the General Counsel filed an opposition to the motion. On May 7, 2013 the Board denied the Respondent/Employer's motion. On May 10, 2013, D'Arrigo Bros. filed a petition for writ of review in the Fourth District Court of Appeal, Division One, requesting review of the Board's decision in 39 ALRB No. 4. The certified record was filed with the court on May 22, 2013. The court granted the petitioner's request for a further extension of time to file its opening brief. Petitioner's opening brief is now due September 13, 2013. The Board's brief is now due 95 days after the filing of Petitioner's opening brief (approximately December 17, 2013).

# CT&T Enterprises, 2013-RC-1-VIS

On August 29, 2013, the International Brotherhood of Teamsters Local Union 948 filed a petition for certification with the Visalia ALRB Regional Office seeking to represent the employees of CT&T Enterprises. The company is located in Woodlake CA and is involved in agricultural tarping. The company employs approximately 54 employees. The petition is under investigation and, if all statutory prerequisites are met, an election will be held on September 5, 2013 in Woodlake and possibly in Merced CA. A pre-election conference was held yesterday to discuss the logistics of the election.

#### COMPLAINT REPORT

#### **COMPLAINTS ISSUED**

#### Charanjit S. Batth, Case No. 2012-CE-033-VIS

On August 23, 2013, the Regional Director for the Visalia ALRB Regional office issued a complaint against Charanjit S. Batth, Case No. 2012-CE-033-VIS. The complaint alleges that the employer violated the Act by terminating three employees and failing to recall them in retaliation for their complaints on behalf of themselves and their co-workers. According to the complaint, the workers complained about bad drinking water, the lack of bathrooms within a reasonable distance, and the mistreatment by one of the foreman. The answer to the complaint is due within 10 days (September 5, 2013).

#### Tri-Fanucchi Farms, Case No. 2012-CE-008-VIS

On August 26, 2013, the Regional Director for the Visalia ALRB Regional office issued a complaint against Tri-Fanucchi Farms, Case No. 2012-CE-008-VIS. The complaint alleges that the employer violated the Act by refusing to provide relevant and accurate employee information to the UFW, the employees' certified bargaining representative, and by refusing to make itself available for bargaining with the UFW at reasonable times. The answer to the complaint was received August 27, 2013.

# **COMPLAINTS WITHDRAWN**

None.

# PREHEARING, HEARING OR SETTLEMENT CONFERENCES SCHEDULED:

# FIVE HEARINGS SCHEDULED (September, October & November)

# Kawahara Nurseries, Inc., 2011-CE-004-SAL

Pre-hearing: September 12, 2013, 1:30 p.m. Hearing: September 30 to October 11, 2013

# D'Arrigo Bros. of California, 2012-CE-005-SAL

1<sup>st</sup> Pre-hearing held September 3, 2013; 2<sup>nd</sup> Pre-hearing to be scheduled Hearing: October 1 and 2, 2013

#### Nakamura Sales Corporation, 2012-CE-017-SAL

Pre-hearing: September 10, 2013 at 1p.m.

Hearing: October 28, 2013 – November 7, 2013.

### Gerawan Farming, Inc., 2013-CE-010-VIS

Pre-hearing: October 15, 2013 at 10 a.m.

Hearing: November 4, 2013.

# Gurinder S. Sandhu dba Sandhu Poultry and Farming, 2012-CE-010-VIS

Pre-hearing held July 19, 2013 Hearing: November 19, 2013.

#### **HEARINGS IN PROGRESS**

None.

# CASES PENDING TRANSCRIPTS, POST-HEARING BRIEFS OR ALJ/IHE DECISION

# Arnaudo Brothers, LP, 2012-CE-030-VIS

The post-hearing briefs due August 30, 2013, have been received. The matter is pending the ALJ's decision.

### Perez Packing, Inc., 2012-CE-003-VIS

The matter is pending the ALJ's decision.

#### **ALJ/IHE DECISIONS ISSUED:**

None.

# CASES PENDING EXCEPTIONS OR REPLY/REQUEST FOR REVIEW:

# H&R Gunlund Ranches, Inc., 2009-CE-063-VIS, et al.

The Respondent filed exceptions to the ALJ decision on July 25, 2013. Replies were August 22, 2013. The matter is pending before the Board for decision.

#### CASES PENDING BOARD DECISION OR ACTION:

### San Joaquin Tomato Growers, Inc., 93-CE-38-VI

On July 16, 2013, the Acting Regional Director of the Salinas ALRB Regional Office filed a Third Revised Makewhole Specification. The Respondent's answer was filed August 5, 2013. The matter is pending before the Board for decision.

# Gerawan Farming, Inc., 2013-NO-003-VIS

On September 3, 2013, Silvia Lopez, on behalf of Gerawan Employees for a Free Election, filed a request for review of the Regional Director's decision to dismiss the Notice of Intent to Organize because the group had turned in petition pages with signatures that do not meet the law's definition of an authorization card. The question whether the new group submitted signatures of 10 percent of the company's workforce was not reached.

#### CASES SETTLED OR RESOLVED:

None.

#### **COMPLIANCE CASES CLOSED:**

None.

#### **BOARD DECISIONS:**

# Gerawan Farming, Inc., 2013-MMC-003

On August 2, 2013, Lupe Garcia filed a petition for reconsideration asking the ALRB to decide, inter alia, whether the public, including Garcia and other Gerawan employees, has the right to attend "on the record" MMC proceedings under Article I, Section 3 (b) of the California Constitution and the 1st Amendment of the US Constitution. On August 21, 2013, the Board issued its decision finding no public right of access under Article I, Section 3 (b) of the California Constitution and the 1st Amendment of the US Constitution.

### Bud Antle, Inc., 2012-CE-007-SAL

On August 8, 2013, the General Counsel filed a motion for reconsideration of the Board's decision that was joined by the UFW. On July 29, 2013, the Board issued its decision denying the motion for reconsideration. As neither party filed a petition for writ of review of the Board's decision, this matter is now final. The Executive Secretary will now release this case for compliance.

# REQUESTS UNDER MANDATORY MEDIATION AND CONCILIATION LAW:

### San Joaquin Tomato Growers, Inc., 2011-MMC-001

On November 17, 2011, the UFW filed a declaration requesting mandatory mediation and conciliation. On November 22, 2011, the employer filed its answer and opposition to the motion. On December 2, 2011, the Board issued an order to show cause why it should not dismiss the union's request for failure to show that the parties have not previously had a binding contract between them. The union's response was filed December 13, 2011. The employer's reply was filed December 21, 2011. On December 23, 2011, the Board issued its decision ordering an evidentiary hearing to determine if the Union's request for referral to MMC met all the statutory prerequisites. On December 27, 2011, the Executive Secretary scheduled an evidentiary hearing to be held on January 31, 2012, in Modesto CA. On January 18, 2012, the Executive Secretary's granted the Union's request to move the hearing to February 8, 2012. On January 25, 2012, the UFW filed a request for ruling on the pleadings. On January 26, 2012, the employer filed its opposition to that request. On January 27, 2012 the Board denied the UFW's request. The hearing on the MMC matter was held on February 8, 2012. Post-hearing briefs were received February 23, 2012. On March 19, 2012, the employer filed exceptions to the ALJ decision. Reply briefs are not provided for in the Board's regulations. On March 29, 2012, the Board granted the UFW's request for mandatory mediation and conciliation. (See 38 ALRB No. 2.) On April 3, 2012, the California State Mediation and Conciliation Service issued its list of nine mediators in accordance with Labor Code section 1164, subdivision (b). On April 10, 2012, the parties selected Matthew Goldberg as the mediator/arbitrator in this case. The mediator has issued his report to the Board and

the official record in the case, which were received by the Board on July 17, 2012. According to the Board's regulations, the parties may file a petition for review of the mediator's report within seven (7) days. The petition for review was received July 26, 2012. On August 3, 2012, the Board issued its decision granting review on two matters. The first matter is a possible math error as to the amount of picking rate increases and the second matter is the inclusion of tractor drivers in the bonus program. As to the other matters to which San Joaquin Tomato Growers, Inc. objected, the Board found that the mediator's conclusions were neither clearly erroneous, nor arbitrary or capricious, and went into effect as of the decision issuance date and are not in abeyance. The Mediator's revised report following the Board's decision was filed September 22, 2012. The parties' petition for review of that report was due October 4, 2012. Neither party filed a petition for review. The Board issued its decision on this matter on October 9, 2012. On November 8, 2012 the petitioner, San Joaquin Tomato Growers, Inc., filed a petition for writ of review and requested an immediate stay. On November 16, 2012 the ALRB filed its opposition to the request for stay. On November 28, 2012 the Board filed the certified record. The Petitioner's opening brief was filed February 1, 2013. The Board's response brief was filed March 18, 2013. The UFW's brief was filed March 19, 2013. Petitioner's reply brief was filed May 10, 2013. The matter is pending oral argument or decision.

# George Amaral Ranches, Inc., 2012-MMC-003

On November 20, 2012, the Board issued an order directing the United Farm Workers of America (UFW) and George Amaral Ranches, Inc. (Employer) to participate in the mandatory mediation and conciliation process set forth in Labor Code sections 1164-1164.13 and sections 20400-20408 of the Board's regulations. The UFW was first certified as the exclusive collective bargaining representative on July 24, 2012. The UFW filed its declaration requesting mandatory mediation and conciliation on November 9, 2012, and the Employer did not file an answer to the UFW's request. On December 3, 2013, Annie Song-Hill, Interim Chief of California State Mediation Services, informed the parties that Matthew Goldberg has been informed that he has been selected by the parties as the mediator in this matter. The parties have participated in ten (10) negotiation sessions and met with the mediator in three (3) sessions. Mediation in Amaral is now complete. On June 18, 2013, the mediator issued his report to the Board setting the terms for an initial collective bargaining agreement between the parties. On June 28, 2013, the mediator issued an amended and final report. The UFW filed a petition for review of the mediator's report on July 8, 2013 and the Employer filed its opposition to the petition on July 12, 2013. The Board's decision is pending. On July 15, 2013, the UFW filed its motion to strike the employer's opposition. On July 16, 2013, the employer filed its opposition to the UFW's motion to strike. The Board issued its decision on July 18, 2013. Any petition for writ of review was due by August 19, 2013. As neither party sought review of the Board's decision, the mediator's report is now final and this matter is now fully resolved.

# Arnuado Brothers, Inc., 2013-MMC-001

On February 4, 2013, the UFW filed a declaration requesting that the Board issue an order directing the United Farm Workers of America (UFW) and Arnuado Brothers, Inc. to mandatory mediation and conciliation. Arnaudo Brothers grows tomatoes and other crops in San Joaquin County. The employer's response was received on February 8, 2013. On February 13, 2013 the Board granted the UFW's request and issued an order directing the parties to mandatory mediation and conciliation. The parties have selected Matthew Goldberg as the mediator/arbitrator in this case. The parties met for mediation on May 24, 2013. Counsel for the decertification petitioner attended the session and advised the parties and mediator that he was filing a decertification petition the next day. The mediator ruled that the negotiations should be held in abeyance until the election results are available, especially in light of the fact that the Union has had no contact with the workers in more than thirty years and there was a question as to whether the unit was abandoned. The UFW has requested that the Board issue various orders to the mediator, including an order directing him to resume the MMC proceeding. Both the employer and petitioner have filed responses to that request. On June 5, 2013, the Board issued its decision granting the UFW's request and ordering the mediator to resume mediation (Arnaudo Brothers, Inc., 39 ALRB No. 7.) The parties met for mediation on May 24, 2013 and were scheduled to meet again on August 12, 2013.

On July 30, 2013, Francisco Napoles, an Arnaudo employee, filed a petition for writ of mandate in the Third District Court of Appeal challenging the dismissal of a decertification petition he filed in Case No. 2013-RD-001-VIS. In connection with that writ application, Napoles requested that the Court of Appeal stay the MMC proceedings. On August 8, 2013, the Court of Appeal entered an order summarily denying the petition for writ of mandate and request for stay.

On August 15, 2013, the Board was notified that Francisco Napoles ("Napoles") would be seeking a temporary restraining order ("TRO") in the San Joaquin County Superior Court on August 19, 2013. On August 19, 2013, the court granted the TRO directing the Board to stay the MMC proceedings. On August 20, 2013, the Board issued an administrative order staying the MMC proceedings until further notice. See court litigation for status of court case.

# Gerawan Farming, Inc., 2013-MMC-003

On March 30, 2013, the UFW filed a second amended declaration requesting that the Board issue an order directing the United Farm Workers of America (UFW) and Gerawan Farming, Inc. to mandatory mediation and conciliation (MMC). Gerawan Farming is engaged in the growing of stone fruits, including peaches, plums, nectarines and apricots. At the time of the election in 1990, Gerawan Farming had approximately 1331 employees. The UFW was certified at the company on July 8, 1992 and has requested bargaining with the employer in July 1992, November 1994 and October 12, 2012. On April 8, 2013, the employer filed

an answer to the MMC petition alleging that the statutory requirements for filing an MMC petition had not been met and that the petition should also be dismissed based on defenses of laches, estoppel, waiver, bad faith, unclean hands and abandonment as well as statutory, equitable and constitutional grounds. On April 16, 2013, the Board issued its decision referring the parties to mandatory mediation and conciliation. On April 24, 2013, the California Mediation and Conciliation Service prepared and sent the parties a list of nine mediators. The parties selected Matthew Goldberg as the mediator. The parties exchanged their positions on the remaining open issues on May 7, 2013, and submitted their discovery requests on May 13 and 15, 2013. The parties agreed to exchange their responses on May 29, 2013. The parties met with the mediator on June 6 and 11, 2013 in Modesto California. The parties' next MMC session was scheduled for August 8, 2013.

On July 10, 2013, Lupe Garcia filed a petition to intervene in the ongoing Gerawan MMC matter. On July 19, 2012, the UFW and Gerawan filed their responses to the petition. On July 29, 2013, the Board issued its decision dismissing Garcia's petition. The Board found that Garcia was not a "party" to the MMC proceedings under the Board's regulations. The Board further found that, even if the standards for intervention in civil court cases were applicable to MMC cases, Garcia did not qualify for intervention under those standards. Finally, the Board declined to address an argument made by Gerawan that members of the public have a constitutional right to attend MMC sessions as that issue was not properly raised. On August 2, 2013, Lupe Garcia filed a petition for reconsideration asking the ALRB to decide, inter alia, whether the public, including Garcia and other Gerawan employees, has the right to attend "on the record" MMC proceedings under Article I, Section 3 (b) of the California Constitution and the 1st Amendment of the U.S. Constitution. On August 21, 2013, the Board issued its decision finding no public right of access under Article I, Section 3 (b) of the California Constitution and the 1st Amendment of the U.S. Constitution.

Gerawan has also filed a lawsuit in Fresno Superior Court challenging the Board's order referring Gerawan to MMC and challenging the constitutionality of MMC generally. See court litigation case for further information: Gerawan Farming, Inc. v. California Agricultural Labor Rel. Bd., et al., Case No. 13CECS01408.

Lupe Garcia and other workers have also filed a lawsuit in Fresno Superior Court alleging that the Board's order referring the parties to mandatory mediation is facially invalid under the due process clause of the US and California Constitutions. The petitioners also allege that the Board's actions violate the workers' First Amendment rights to freedom of speech and association, as well as equal protection rights though the Petition and supporting memorandum do not appear to seek relief on that basis. See court litigation case for further information: Lupe Garcia v. California

Agricultural Labor Rel. Bd., et al., Case No. 13 CECG 01557, Fresno County Superior Court.

# Bud Antle, Inc., 2012-CE-007-SAL

On May 20, 2013, the administrative law judge issued a decision in which he found that Bud Antle had violated sections 1153(e) and 1153(a) of the Agricultural Labor Relations Act by failing to supply the Union with information necessary for it to process three grievances arising under a Memorandum of Understanding requiring the respondent to hire qualified applicants into its own harvest crews before resorting to subcontractors and provide those crews with as much work as its subcontractor crews. The respondent filed exceptions to the ALJ's decision and, on July 29, 2013, the Board issued its decision affirming in part and overturning in part the ALJ's decision. The Board affirmed the ALJ's ruling that the information sought by the union was relevant to the grievances filed, and affirmed the ALJ's conclusion that respondent's mere claim of privilege did not support respondent's categorical refusal to supply the information. The Board rejected the ALJ's conclusion that respondent and Dole functioned as a single integrated enterprise. On August 8, 2013, the General Counsel filed a motion for reconsideration of the Board's decision that was joined by the UFW. On July 29, 2013, the Board issued its decision denying the motion for reconsideration. As neither party filed a petition for writ of review of the Board's decision, this matter is now final. The Executive Secretary will now release this case for compliance.

#### COURT LITIGATION/BOARD

#### Ace Tomato Company, Inc., F065589

On August 23, 2012 Ace Tomato Company (Ace) sought court review of the Board's decision in 38 ALRB No. 6 by filing filed a petition for writ of review with the Fifth Appellate District Court of Appeals. In 38 ALRB No. 6, pursuant to the Mandatory Mediation and Conciliation provisions of the Agricultural Labor Relations Act, the Board affirmed in full Mediator Matthew Goldberg's report fixing the terms of a collective bargaining agreement between Ace and the United Farm Workers of America (UFW), the certified representative. Ace also requested a stay of the Board's decision. The Board and UFW both filed a preliminary opposition to the appeal. At the court's invitation, the Board and the UFW filed letter briefs on the issue of venue, arguing that proper venue was in the 3rd District Court of Appeal. On October 10, 2012, Ace filed its opening brief on the merits of the petition, along with a motion to augment the record to include a sample agreement between Ace and one of its labor contractors. On October 17, 2012, the 5th District Court of Appeal issued two orders. One order denied the ALRB's and UFW's request to transfer the case to the 3rd District Court of Appeal, without prejudice to filing a request directly with the California Supreme Court. The other order granted Ace's request that the Board's decisions before the court on review be stayed pending further order or determination of the merits of Ace's petition for writ of review. On October 25, 2012, the UFW

filed an opposition to Ace's motion to augment the record and the ALRB filed a response joining in the UFW's opposition. On October 30, 2012, the Board filed with the 5th DCA a petition for rehearing on proper venue. On November 14, 2012, the Board filed its response brief on the merits. The UFW filed its response on December 7, 2012. Ace filed its reply brief on January 16, 2013. On February 14, 2013, the 5th District Court of Appeal decided to review the case in full, i.e., issue a writ and set oral argument at a date to be set later. The court also has denied the Board's Petition for Rehearing on Proper Venue, and has indicated that the order staying the Board's decision and order issued by the court on October 17, 2012, will remain in effect. Oral argument has not yet been scheduled.

# San Joaquin Tomato Growers, F066074

On November 8, 2012, San Joaquin Tomato Growers, Inc. (Petitioner), filed in the 5th District Court of Appeal a petition for writ of review and requested an immediate stay of the Board's decision. On November 16, 2012, the ALRB filed its opposition to the request for stay. On November 19, 2012, the court issued an order denying an immediate stay of the Board's decision. The Board filed the certified record on November 29, 2012. Also on November 19, 2012, the court issued an order denying an immediate stay of the Board's decision. The Petitioner's opening brief was filed February 1, 2013. The Board's response brief was filed March 18, 2013. The UFW's response brief was filed on March 19, 2013. The Petitioner's reply brief was filed May10, 2013. The Fifth District Court of Appeal will decide whether or not to review the case in full, i.e., issue a writ and set oral argument at a date to be determined later.

D'Arrigo Brothers Company of California, Case No. D063886, 4th DCA, Div. 1 On May 10, 2013, D'Arrigo Bros. of California ("D'Arrigo") filed a petition for writ of review in the Fourth District Court of Appeal, Division One, requesting review of the Board's decision in 39 ALRB No. 4. The certified record was filed with the court on May 22, 2013. On August 15, 2013, the court granted petitioner's request for a two-week extension of time to file its opening brief. The brief is now due now due on September 13, 2013. The Board's brief is due 95 days after theirs is filed (approximately December 17, 2013).

# Premiere Raspberries, LLC, Case Number H039793, 6th DCA

On June 21, 2013, Premiere Raspberries filed a petition for writ of review in the Sixth District Court of Appeal requesting review of the Board's decision in 39 ALRB No. 6. On July 5, 2013, the Board filed the certified record with the court. The petitioner's opening brief was filed August 9, 2013. The Board's brief is due September 13, 2013.

# Gerawan Farming, Inc. v. California Agricultural Labor Rel. Bd., et al., Case No. 13CECS01408

On May 6, 2013, the Board received a summons in a lawsuit filed by Gerawan Farming, Inc. ("Gerawan") in Fresno County Superior Court. The lawsuit names the Board, its individual members, and its Executive Secretary as defendants. In the

lawsuit, Gerawan claims that the Board exceeded its authority when it ordered Gerawan to mandatory mediation and conciliation ("MMC") with the United Farm Workers of America ("UFW") on April 16, 2013. Gerawan further claims that the MMC process violates its constitutional due process rights and seeks a declaration that the MMC statutes are unconstitutional. The Board has 30 days in which to file a response to the lawsuit. Due to the constitutional issues raised by the lawsuit, the Board contacted the Attorney General's Office and requested legal representation. That request was granted on May 7, 2013.

On May 17, 2013, Gerawan filed an ex parte application in the Superior Court for the County of Fresno (Case No. 13 CECG 01408) requesting that the court stay the April 16th order of the Board directing the company to engage in mandatory mediation and conciliation with the UFW. On May 24, 2013, the ALRB and the UFW each filed their opposition to the stay. The Court heard Gerawan's request for a stay on June 10, 2013 and denied the request on June 19, 2013.

On June 18, 2013, the Board sent a copy of the certified record to the court and parties. The Board filed its answer to the petition and complaint on June 20, 2013. On July 29, 2013, the Board filed its opposition brief to Gerawan's writ of administrative mandate. A hearing on Gerawan's petition and complaint was held on August 9, 2013. The matter is pending decision by the judge.

# Lupe Garcia v. California Agricultural Labor Rel. Bd., et al., Case No. 13 CECG 01557, Fresno County Superior Court

Lupe Garcia, an individual worker from Gerawan, and other concerned workers who wished to remain anonymous due to fear of retaliation, filed a lawsuit alleging that the Board's order referring the parties to mandatory mediation is facially invalid under the due process clause of the US and California Constitutions. The petitioners also allege that the Board's actions violate the workers' First Amendment rights to freedom of speech and association, as well as equal protection rights. The lawsuit was stamped filed on May 17, 2013, but was not served on the Board. An attempt to serve the Board by service on regional staff last week proved unavailing.

On June 25, 2013, the Executive Secretary accepted service of the Lupe Garcia lawsuit on behalf of the Agricultural Labor Relations Board, the Board members and Executive Secretary. Our answer to the complaint is due within 30 days, i.e., July 25, 2013. However, Garcia's counsel agreed to extend our time to respond to September 3, 2013. On June 28, 2013, the Board was notified that the Attorney General's Office will also be representing the Board in this lawsuit.

On August 5, 2013, the Board was notified that Garcia will seek to stay the MMC proceedings on an ex parte basis on August 7, 2013, pending resolution of Garcia's request to intervene in the MMC proceedings. On August 7, 2013, Judge Black of the Fresno Superior Court denied the application for a stay "for the reasons stated in his

order denying the stay in the Gerawan case," and because "as of now, Mr. (Lupe) Garcia is a stranger to the MMC process, which is between Gerawan and the union, and therefore lacks standing to bring this application."

On August 30, 2013, the Board filed its answer to Garcia's Petition and Complaint. The matter is now pending a decision on Garcia's Petition and Complaint.

# Francisco Napoles, C074358, 3<sup>rd</sup> DCA

On July 30, 2013, Francisco Napoles an employee of Arnaudo Brothers, LP, filed a petition for writ of mandate and request for immediate stay in the California Court of Appeal, Third Appellate District (Case No. C074358). The petition requested that the Court review and overturn a June 13, 2013 decision of the Board blocking and dismissing a decertification petition filed by Napoles seeking decertification of the United Farm Workers of America. (39 ALRB No. 9.) Napoles also asked the Court of Appeal to enter an immediate stay of MMC proceedings currently ongoing between Arnaudo and the UFW (ALRB Case No. 2013-MMC-001). On August 8, 2013, the Court of Appeal entered an order summarily denying the petition for writ of mandate and request for stay.

# Francisco Napoles, San Joaquin County Superior Court, Case No. 39-2013-00300664-CU-WM-STK

On August 15, 2013, the Board was notified that Francisco Napoles will seek a temporary restraining order ("TRO") against the Board in the San Joaquin County Superior Court on August 19, 2013. Napoles seeks an order preventing the Board from continuing Mandatory Mediation and Conciliation ("MMC") proceedings between Napoles' employer, Arnaudo Brothers, LP ("Arnaudo") and the UFW from going forward on the grounds that the UFW affirmatively disclaimed its status as certified representative of Arnaudo's employees and no valid union certification exists upon which to base referral to MMC. The Board referred Arnaudo and the UFW to MMC in February, 2013 and MMC proceedings are currently ongoing (ALRB Case No. 2013-MMC-001). The TRO is requested in connection with a lawsuit filed on August 14, 2013 by Napoles naming the Board as respondent. In the lawsuit, Napoles also claims that the Board exceeded its powers when it referred Arnaudo and the UFW to MMC, that the MMC proceedings violate his constitutional right to due process, that bias on the part of Board employees caused a denial of due process, that the statutes governing the MMC process are unconstitutional, and that the statute defining court jurisdiction over ALRB orders is unconstitutional.

On August 19, 2013, the hearing went forward before Judge McNatt who granted the TRO. Following argument, the judge stated that the issues were too complex for him to resolve without further time to review the materials and consider the arguments. He indicated that for this reason he would issue the TRO. Petitioner's brief was filed August 28, 2013. The Board's opposition brief is due September 5, 2013. A hearing will be held on September 9, 2013 to decide whether to issue a preliminary injunction.

Napoles is requesting that the preliminary injunction direct the Board to refrain from enforcing the UFW's certification and from initiating or pursuing any proceeding contingent on the UFW's certification.

On August 20, 2013, in compliance with the court's order, the Board issued an administrative order temporarily staying Mandatory Mediation and Conciliation ("MMC") proceedings between Arnaudo Brothers, Inc. ("Arnaudo") and the UFW pending the outcome of the September 9th hearing.

#### COURT LITIGATION/ GENERAL COUNSEL

# RBI Packing LLC, Riverside Superior Court

On February 7, 2013, the General Counsel filed an ex parte application for a temporary restraining order ("TRO") to prevent the RBI Packing LLC, from terminating two crews of lemon pickers, allegedly in retaliation for their union activities. The matter was heard by Commissioner Barkley in Riverside Superior Court on Friday, February 8, 2013. Commissioner Barkley did not grant a TRO. However, Commissioner Barkley set an order to show cause hearing for February 15, 2013 to allow the General Counsel to seek a preliminary injunction ordering reinstatement and an end to discrimination against workers who were fired for exercising their rights.

On February 15, 2013 Riverside County Superior Court Judge Perantoni granted the ALRB General Counsel's application for a preliminary injunction pursuant to California Labor Code section 1160.4. Judge Perantoni found that the General Counsel had reasonable cause to believe that RBI Packing, LLC discriminatorily fired two crews of lemon harvesters upon learning that the workers were organizing with the United Farm Workers of America ("UFW") union. Judge Perantoni issued a preliminary injunction to remain in effect until the ALRB's charge is resolved through its administrative proceeding. The Judge further ordered RBI Packing, LLC to cease and desist from discriminating against employees who were organizing with the UFW, to cease and desist from refusing to farm the lemon ranch in retaliation for the workers' union activities, and to first offer all agricultural jobs (at the same or superior wages and conditions) that become available to the employees who engaged in organizing activity, and that the ALRB shall have access to the ranch and to payroll records in order to monitor and ensure compliance with the Preliminary Injunction. RBI Packing, LLC has approximately 55-60 non-supervisory agricultural workers. Court granted the injunction of February 15, 2013. On August 15, 2013, after a case management conference, the case was transferred to Indio, California, where assignment is pending.

# ALRB v. Ace Tomato Co., Inc., Case No. 39-2012-00287876-CU-PT-STK (San Joaquin County Superior Court)

On October 4, 2012, the General Counsel was granted leave by the Board to seek enforcement of two outstanding investigative subpoenas related to three unfair labor practice charges against Ace Tomato Co., Inc. On October 5, 2012, she filed an exparte Application for enforcement of the subpoenas in San Joaquin Superior Court in Stockton, CA. The Ex-Parte hearing was calendared for 8:15 a.m. on October 9, 2012 in front of Judge Linda Lofthus. Ace sought to have the matter transferred to Judge Barbara Kronlund, arguing that the present subpoena enforcement action was substantially related to a prior temporary restraining order application heard by Judge Kronlund. Both parties met initially with Judge Lofthus in chambers. However, after a break in which Judge Lofthus conferred with Judge Kronlund, the matter was transferred to Judge Kronlund. Judge Kronlund refused to hear the matter ex-parte and set a hearing on shortened time for October 24, 2012. After Ace represented to the Court that all matters were stayed based on the October 17, 2012 stay order issued by the 5th District Court of Appeal in Case No. F065589, Judge Kronlund removed the matter from calendar, without proper notice to the ALRB. On October 22, 2012, the General Counsel filed an Opposition to the Respondent's Notice of Stay of the Proceedings to Enforce the General Counsel's subpoenas. There has not yet been a response from the Court to the General Counsel's opposition.

# Arnaudo Bros. LP/Inc., San Joaquin Superior Court Case No. 39-2013-00299678-CU-PT-STK

On July 23, 2013, the General Counsel of the ALRB filed an Ex Parte Application seeking a TRO and Preliminary Injunction against Arnaudo Bros. LP and Arnaudo Bros. Inc. (Arnaudo) based on allegations of threats and intimidation against a farm worker for participating in an ALRB process and engaging in protected union activity. On July 26, 2013, after oral argument, Judge Roger Ross of the San Joaquin County Superior Court granted the General Counsel's Application for a Temporary Restraining Order against Arnaudo. Judge Ross ordered Arnaudo to cease and desist from intimidating and threatening its employees because of their support for the union and participation in ALRB processes, and barred the employer from evicting or taking adverse employment action against Noe Martinez, unless it can show just cause for such action. Finally, the Judge Ross ordered the parties to appear for a hearing on an Order to Show Cause as to why a preliminary injunction should not issue keeping the Judge's order in place during the pendency of the underlying ULP charge and granting the ALRB access to provide noticing to Arnaudo Brothers employees about their rights under the Act. The General Counsel's petition for a preliminary injunction was heard on August 15, 2013 in the San Joaquin Superior Court in Stockton, California. After oral argument, Judge Holland took the matter under submission and extended the effectiveness of the TRO until he reaches a decision on the General Counsel's request for a preliminary injunction.

# ALRB v. Gerawan Farming, Inc., Case No. 13CECG02594

On August 19, 2013, the General Counsel of the ALRB filed an ex part application for a Temporary Restraining Order ("TRO") against Gerawan Farming, Inc. based on allegations that Gerawan's supervisors unlawfully coerced and intimidated its agricultural employees into signing a petition to decertify the United Farm Workers of America ("UFW"), the employees' current certified bargaining representative. On August 21, 2013, Judge Jeffrey Hamilton, Jr. of the Fresno County Superior Court granted a temporary restraining order enjoining Gerawan Farming, Inc., its partners, agents, and others under its direction except for non-supervisorial employees from approving, encouraging and circulating a decertification petition among its employees, interrogating employees about their union sympathies, and threatening employees with job loss for supporting the Union. Following the hearing, the General Counsel entered into an agreement with Gerawan that allows ALRB staff to train all of Gerawan's supervisors and their farmworkers on their rights and responsibilities under the ALRA.

#### **MISCELLANEOUS:**

#### **State Marshall Test**

Please be advised that the State Fire Marshall will be on site providing inspection of new construction on the 16th floor. This entails fire life safety testing which will consist of alarms and strobes for approximately 5-10 minutes. This will take place between 5:00-6:00 p.m. today.

# **Regional Director Compliance Reports**

The Board advised the General Counsel that it would continue seeking the compliance reports due on August 30, 2013 from the Regional Directors. While the General Counsel provides verbal updates on compliance at the Board meetings, the Board requires written reports which include detailed compliance efforts from the Regional Directors. It is the Board's statutory duty to insure the effectuation of Board compliance orders.

### **Case Management Reports**

The General Counsel reported on efforts to update the case management system. The Chair indicated that she was pleased that both the Board and General Counsel could now receive case tracking reports on ULPs, elections and compliance in a standard format. The Chair expressed her appreciation of the work recently done by Business Services Officer Saldivar on a 6-year workload summary table that was distributed at the August 21, 2013, Board meeting and to the Senate.

# 6. Special Projects

a. Education/Outreach: Update on UC Berkeley Outreach Project – The Chair and General Counsel met and made edits to the employer and employee pieces. A meeting has been scheduled for next week to review the project with LWDA

Secretary Morgenstern. General Counsel Torres-Guillén reported that new artwork for the outreach materials will be created by well-known artist, Malaquias Montoya. Ms. Torres-Guillén will follow up with Mr. Montoya in the next few weeks. Ms. Torres-Guillén reported on regional office outreach efforts including training of employers, supervisors and human resources managers. The General Counsel will provide a copy of a PowerPoint presentation being used by the regional staff to the Board, Mr. Bedwell and Mr. Rounds.

- b. Annual Report –Responses received need to be incorporated into the report and some information remains to be submitted.
- c. Election Manual No updates at this time.
- d. Master Calendar Executive Secretary Barbosa provided a status report on the calendar of upcoming projects.
- e. General Counsel's Request to Relocate Regional Offices—The General Counsel reiterated her recommendation to relocate the El Centro office to Mecca but also is reviewing whether it should be moved to Calexico with a mobile unit in Mecca. The Board again asked for a written report on the research and conclusions the General Counsel is relying on as the basis for her recommendation. The Board previously asked for this information at the August 7 and 21, 2013 Board meetings. Once the Board has an opportunity to review the General Counsel's data, the Board will obtain public comment on a formal proposal. Mr. Bedwell recommended examining the top 10 crops in each county as a way to determine the labor intensity in each county when deciding on a location of a new office. Mr. Bedwell also suggested the General Counsel and Board be mindful of the physical location of the new office as to be a neutral location and welcoming to all constituencies.
- 7. Regulations Discussion of Potential Subjects for Rulemaking In 2012: Items listed in the Rulemaking Calendar (Unit Clarification Procedure, Voter Eligibility Exclusions (Family Members), Exculpatory Evidence, Electronic Filing). Nothing new to report.
- 8. Legislation Update, if any, on pending legislation affecting the ALRB
  - SB 25 (Steinberg) ALRB Mandatory Mediation and Conciliation
    - As amended on June 19, 2013, requires the party seeking Mandatory Mediation and Conciliation to file a declaration stating that it has made itself available to the other party for bargaining at reasonable times and places during the applicable period.

- As amended on June 5, 2013, removes provisions that would have changed the definition of "agricultural employer."
- Eliminates the prerequisite that there be no first contract between the parties for an agricultural employer or labor organization certified prior to January 1, 2003.
- Provides that an action to enforce a Board order making a mediator's report final could be filed within 60 days without regard to whether the party is seeking judicial review
- Increases the evidentiary threshold for a court to grant a stay of Board order making a mediator's report final.
- Status: Passed the Assembly August 19, 2013; as of September 3, 2013, in Senate Unfinished Business file, concurrence with Assembly amendments pending.

# • AB 263 (Roger Hernández) (Employment: retaliation; immigration-related practices)

- Would prohibit an employer from retaliating or taking adverse action against any employee or applicant for employment because the employee or applicant has engaged in protected conduct.
- o Would provide that an employee who was retaliated against or otherwise subjected to an adverse action is entitled to reinstatement and reimbursement for lost wages, and would subject a person who violates these provisions to a civil penalty of up to \$10,000 per violation.
- Would make it unlawful for an employer or any other person to engage in or direct another person to engage in an unfair immigration-related practice, as defined, against a person for the purpose of or with the intent of retaliating against any person for exercising a right protected under state labor and employment laws or under a local ordinance applicable to employees.
- Status: Passed the Senate Appropriations Committee on August 30, 2013;
   in Senate Second Reading file as of September 3, 2013.

# AB 383 (Wagner) (Language Assistance in Adjudicative Proceedings)

- Requires the ALRB, among many agencies, to provide language assistance in adjudicative proceedings to the extent provided in the bill. It imposes no greater responsibility than the ALRB already has and is an effort to "maintain the codes."
- o Status: Chaptered August 12, 2013, Chapter 76, Statutes of 2013.

# • SB 666 (Steinberg) (Employment Retaliation)

- Would subject those with business licenses to suspension or revocation, with a specific exception, if a current, former or prospective employee of the licensee attempts to exercise a right related to his or her employment or any terms, conditions or benefits of that employment protected by state law and, in reaction, the licensee threatens to retaliate or retaliates based on the employee's citizenship or immigration status.
- Would make it a cause for suspension, disbarment, or other discipline for any member of the State Bar to report immigration status or threaten to report immigration status of a witness or party to a civil or administrative action or his or her family member, as defined, to a federal, state or local agency because the witness or party exercises or has exercised a right related to his or her employment.
- Status: Passed Assembly Appropriations Committee on August 21, 2013;
   in Assembly Third Reading file as of September 3, 2013.

# • AB 729 (Roger Hernández) (Evidentiary Privilege for Employee-Union Agent Communications)

- O As amended July 9, 2013, would incorporate changes to Section 912 of the Evidence Code made by this bill and by AB 267, to take effect if both bills are chaptered and this bill is chaptered last. AB 267 provides for the attorney-client privilege to attach to communications between a person who consults a lawyer referral service for the purpose of securing legal counsel or legal advice and the lawyer referral service or staff person thereof.
- Creates an evidentiary privilege for communications between a union agent and a represented employee or represented former employee while the

union agent is acting in his or her representative capacity, except as specified.

- Allows a represented employee or represented former employee to prevent another person from disclosing a privileged communication, except as specified.
- As amended on June 10, 2013, clarifies that the privilege would not apply in criminal proceedings.
- o Status: In Senate Third Reading file as of September 3, 2013.
- **9. Personnel** *Progress on filling ALRB positions*. Susana Naranjo has been hired as a graduate law student in Salinas.

#### 10. Roundtable

In light of the recent hiring of permanent Regional Directors, the Board will return to calendaring regular quarterly meetings with the Regional Directors.

The Department of Food and Agriculture, in partnership with California Emergency Foodlink, is coordinating the food drive on behalf of the State of California. The Chair is seeking a volunteer to be the 2013 Food Drive liaison.

Cynthia Gomez, Tribal Advisor to Governor Brown, sent the Chair an invitation to participate in the 46<sup>th</sup> Annual California Native American Day event on September 27, 2013, from 10:00 a.m. to 2:00 p.m. on the south steps of the State Capitol.

The public meeting adjourned at 11:35 a.m.

WHEREUPON THE BOARD ENTERED INTO CLOSED SESSION.