STATE OF CALIFORNIA

AGRICULTURAL LABOR RELATIONS BOARD

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BROCK RESEARCH, INC.,
Respondent,
and
UNITED FARM WORKERS OF AMERICA, AFL-CIO,
Charging Party.

Case No. 76-CE-88-E (R)

4 ALRB No. 32

DECISION AND ORDER

Pursuant to the provisions of Labor Code Section 1146, the Agricultural Labor Relations Board has delegated its authority in this matter to a three-member panel.

On June 17, 1977, Administrative Law Officer (ALO) Phillip M. Sims issued the attached Decision in this proceeding, in which he concluded that Respondent had violated Section 1153 (a) of the Act by promising and granting a wage increase to its employees to discourage their support of the UFW's organizing campaign at its premises, and by implicitly and expressly threatening employees with loss of employment if they supported the UFW's said organizing campaign. The ALO found, however, that the Respondent did not violate the Act by its layoff of the so-called "Casillas crew."

The General Counsel filed exceptions and a supporting brief, and Respondent filed a brief in reply to the General Counsel's exceptions .

The Board has considered the record and the attached

Decision in light of the exceptions and briefs $\frac{1}{2}$ and has decided to affirm the rulings, findings, and conclusions of the ALO and to adopt his recommended Order as modified herein. $\frac{2}{2}$

The General Counsel's exception to the ALO's failure to find unlawful the layoff of the Casillas crew is, in large measure, an attack on the credibility resolutions of the ALO. As we have previously held, such resolutions will not be overturned unless a clear preponderance of the relevant testimony shows them to be erroneous. <u>See, e.g., Tex-Cal Land Mgt., Inc.,</u> 3 ALRB No. 14 (1977). We have carefully reviewed this record and, as we find no basis for overturning the ALO's credibility resolutions, the General Counsel's exceptions are hereby dismissed.

ORDER

Pursuant to Labor Code Section 1160.3, Brock Research Inc., its officers, agents, successors, and assigns shall:

1. Cease and desist from:

a. Interfering with, restraining, or coercing its agricultural employees in the exercise of rights guaranteed in

4 ALRB No. 32

^{1/}Respondent did not except to the ALO's findings. Its brief is limited to the contention that the General Counsel's exceptions were untimely filed. We do not agree. Exceptions were due on September 5, 1977. However, as that was a legal holiday (Labor Day), the exceptions were timely filed on September 6. 8 Cal. Admin. Code Section 20480 (1976). Treating Respondent's brief as a motion to strike the exceptions, the motion is hereby denied.

^{2/}We make the following corrections in the ALO's Decision: p. 8 11. 12-13, correct citation to, Rupp Industries, 217 NLRB 385, 88 LRRM 1603 (1975); p. 8, 11/24-25, NLRB v. Exchange Parts Co., 375 U.S. 405, 55 LRRM 2098 (1964); p. 13, 1. 4, substitute "words" for "workers"; p. 15, 1. 21, correct date of decision, 1952? p. 16, 1. 19, correct citation to, Aircraft Hydro-Forming, Inc., 221 NLRB No. 117.

Section 1152 of the Act by promising or granting wage increases or other employment benefits, or by otherwise modifying the terms and conditions of their employment to discourage them from joining or supporting the United Farm Workers of America, AFL-CIO, or any other labor organization; provided, however, that nothing in this Order shall be construed as requiring Respondent to rescind or withdraw any wage increase, economic benefit, or other term or condition of employment it has previously established.

b. Interfering with, restraining, or coercing its agricultural employees in the exercise of rights guaranteed in Section 1152 of the Act by threatening them, expressly or implicitly, with loss of employment if they support, join, or assist the United Farm Workers of America, AFL-CIO, or any other labor organization.

2. Take the following affirmative action which is deemed necessary to effectuate the policies of the ACT:

a. Sign the Notice to Employees attached hereto. Upon its translation by a Board Agent into appropriate languages, Respondent shall thereafter reproduce sufficient copies in each language for the purposes set forth hereinafter.

b. Post copies of the attached Notice for 90 consecutive days, the posting period and places of posting to be determined by the Regional Director. Respondent shall exercise due care to replace any Notice which' has been altered, defaced, or removed.

c. Mail copies of the attached Notice in appropriate languages, within 30 days from receipt of this Order, to all employees employed during the pay period which included February 21, 1976.

4 ALRB No. 32

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d. Arrange for a representative of Respondent or a Board Agent to distribute and read the attached Notice in appropriate languages to the assembled employees of Respondent on company time. The reading or readings shall be at peak season at such times and places as are specified by the Regional Director. Following the reading, the Board Agent shall be given the opportunity, outside the presence of supervisors and management, to answer any questions employees may have concerning the Notice or their rights under the Act. The Regional Director shall determine a reasonable rate of compensation to be paid by Respondent to all non-hourly wage employees to compensate them for time lost at this reading and the question and answer period.

e.Notify the Regional Director in writing, not later than 30 days from the date of this Order, what steps have been taken to comply with it. Upon request of the Regional Director, Respondent shall notify him periodically thereafter in writing what further steps have been taken in compliance with this Order. DATED: May 25, 1978

GERALD A. BROWN, Chairman

RONALD L. RUIZ, Member

HERBERT A. PERRY, Member

4 ALRB No. 32

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NOTICE TO EMPLOYEES

After a hearing at which all sides had the chance to present evidence and state their positions, the Agricultural Labor Relations Board has found that we violated the Agricultural Labor Relations Act by granting wage increases to our employees to discourage them from supporting the UFW organizing campaign and by threatening them with loss of their jobs if they supported that campaign. The Board has ordered us to post this Notice and take other action. We will do what the Board has ordered, and also tell you that:

The Agricultural Labor Relations Act is a law that gives farm workers these rights:

(1) to organize themselves;

(2) to form, join, or help any union;

(3) to bargain as a group and to choose anyone they want to speak for them;

(4) to act together with other workers to try to get a contract or to help or protect each other; and

(5) to decide not to do any of these things.

Because this is true we promise you that:

WE WILL NOT in the future change the pay or other benefits of our employees to discourage them from supporting any union.

WE WILL NOT threaten our employees with loss of employment for supporting any union.

BROCK RESEARCH, INC.

DATED:

(Representative)

(Title)

This is an official notice of the Agricultural Labor Relations Board, an agency of the State of California.

By:

DO NOT REMOVE OR MUTILATE.

4 ALRB No. 32

BROCK RESEARCH, INC.

Case No. 76-CE-88-E(R)

ALO DECISION The amended complaint alleged three violations of the Act: 1. that the Respondent discharged seven of its asparagus harvesters in retaliation for their engaging in activity in support of the UFW; 2. that the Respondent interfered with its employees Section 1152 rights by raising its wages during the UFW organizing campaign; 3. that on the occasion of the announcement of the wage increase the Respondent, by its supervisor, implicitly and expressly threatened its employees with loss of employment if they supported the UFW.

> The ALO concluded from the timing of the wage increase (during the UFW campaign, on a day when the UFW organizers had been with the crews), its unusual size, the incongruity between the size of the increase and the Respondent's stated rationale for an increase, and the setting in which the increase was announced, that it constituted interference with the employees' free choice regarding unionization. The ALO cited. NLRB v. Exchange Parts Co., 375 U.S. 405, 55 LRRM 2098 (1964) and Hansen Farms, 2 ALRB No. 61 (1976) for his conclusion that in these circumstances the grant of benefits was violative of the Act.

> On the basis of credited testimony by General Counsel witnesses the ALO found that the statements made by the supervisor at the time of the wage increase announcement constituted unlawful threats of loss of employment for support of the UFW. However, the ALO found no violation in the layoff of the "Casillas crew". While finding that the company was generally aware of the union sympathy and support of some of its employees, including the Casillas crew, he found no evidence that this crew was in any way more conspicuous in this connection than any other. The ALO found also that there was no evidence that the Respondent knew that the Casillas crew had signed UFW authorization cards. Finally, he found credible the Respondent's evidence that all asparagus harvesting by its direct-hire employees was terminated and assigned to contracted employees for legitimate business reasons, and that the Casillas crew was not reassigned other work because in the Respondent's view its members did not have the requisite experience in the available farming activity.

4 ALRB No. 32

Case Summary (Cont'd.)

4 ALRB No. 32

The Board decided to affirm the findings, rulings, BOARD DECISION and conclusions of the ALO, and to adopt his recommended Order with some modifications.

> The Respondent did not take exception to the ALO's Decision, but did move in essence to strike the General Counsel's exceptions and brief as untimely filed. The Board denied the motion. As the date the exceptions were due, September 5, was a legal holiday (Labor Day), the General Counsel's exceptions were timely when filed September 6, under the terms of 8 Cal. Admin. Code Section 20480 (1976). Characterizing the General Counsel's exceptions as essentially constituting an attack on the ALO's credibility determinations, the Board declined to overturn these findings as its review of the relevant testimony failed to show by a clear preponderance that they were incorrect. Citing Tex-Cal Land Mgt., Inc., 3 ALRB No. 14 (1977).

This summary is furnished for information only and. is not an official statement of the Board.

STATE OF CALIFORNIA

BEFORE THE AGRICULTURAL LABOR RELATIONS BOARD

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BROCK RESEARCH, INC.,

In the Matter of:

Respondent, and UNITED FARM WORKERS OF AMERICA, AFL-CIO,

Charging Party.

CASE NO.: 76-CE-88-E(R) SECOND AMENDED COMPLAINT



DECISION

PHILLIP M. SIMS, Administrative Law Officer:

This case was heard by me in El Centro, California during five (5) days of hearing beginning February 14, 15, 16, 17, 18, 1977. The hearing was held pursuant to the Complaint and subsequently amended, ¹issued by the Sub-Regional Director of El Centro of the Agricultural Labor Relations Board ("Board") and based on charges filed by the United Farm Workers of America, AFL-CIO ("UFW).

All parties were represented at the hearing and were give a full opportunity to participate in the proceedings. General Counsel and Respondent filed briefs after the close of the hearing.

Upon the entire record, including my observation of the demeanor of the witnesses, and after due consideration of the briefs filed by the General Counsel and the Respondent, I make the following:

I. JURISDICTION:

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Respondent, BROCK RESEARCH, INC., is a corporation engaged in agriculture in Imperial County, California, as was admitted by the Respondent in its Answer. Accordingly, I find that the Respondent *is* an agricultural employer within the meaning of Section 1140.4 (c) of the Act.

It was also admitted by the parties that the UFW is a labor organization within the meaning of Section 1140.4(f) of the Act, and I so find.

II. THE ALLEGED UNFAIR LABOR PRACTICES:

The Complaint as amended,³ alleges certain violations as follows:

1. On or about March 2, 1976, the respondent, through its Foreman, RUBEN P. GARCIA, at its Imperial County premises near Yuma area, discharged FAUSTINO CUEVAS, RAFAEL CASILLAS, GILBERTO PENA, JUAN MOLINA, JESUS CASILLAS, MARCOS CASILLAS, and HECTOR VILLALOBOS for engaging in Union activity for the UFW and has failed and refused and continues to fail and refuse to reinstate them to their former, or substantially equivalent, position of employment.

2. On or about February 21, 1976, the respondent did raise the hourly rate of the asparagus workers from \$2.50 to \$3.00 to discourage them from signing UFW authorization cards.

3. On or about February 21, and on the same day as the announcement of the hourly rate change as described in the preceding paragraph herein, respondent through RUBEN GARCIA, a foreman, made statements that the boss did not want a Union, that

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the boss did not want the workers to sign union authorization 1 cards, that the boss wanted to be free, that if the union came to 2 Brock workers might lose their jobs as a result of a job classifi-3 4 cation system that would be imposed, and that minors, specifically 5 the children of present workers, would not be able to work. Such 6 statements were made with the intent to and did in fact engender 7 fear of loss of employment in the workers if they participated in 8 the UFW organizing campaign occuring at Brock; and,

9 Therefore, these activities did violate Sections 4. 10 1153(c) 114C.4(a), 1152, and 1153(a) of the Agricultural Labor 11 Relations Act ("Act").

12 Respondent denies that it violated the Act in any respect. 13 Respondent admits that RUBEN P. GARCIA is a Supervisor within the 14 meaning of Section 1140.4(j) of the Act, and I so find.

III. FACTS;

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16 Respondent BROCK RESEARCH is a grower in the Imperial 17 Valley of California. In February of 1976, there were some 75 18 acres of asparagus being grown and packed by 30 to 40 employees at 19 the Brock Research property. Additionally, Respondent grew oranges, tangerines, lemons, grapefruits, for which pickers were hired, as well as other employees who did miscellaneous field work (i.e. irrigation, weeding, and spraying).

23 The asparagus crews worked in small groups of seven and usually, but not always, came to work in one car together. 24 During this period, RAFAEL CASILLAS formed a crew which rode with 25 him in his camper consisting of GILBERT PENA, JESUS and MARCOS 26 CASILLAS (RAFAEL CASILLAS' sons), HECTOR VILLALOBOS, JUAN 27 PEDRO MOLINA, and FAUSTINO CUEVAS.⁵ The CASILLAS crew all had 28 work

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1 experience with BROCK RESEARCH prior to the 1975/76 asparagus season, except HECTOR VILLALOBOS.⁶ PENA, CUEVAS, and MOLINA had 2 3 experience in the lemon and tangerine harvest of 1974 at BROCK 4 RESEARCH ⁷ and either worked in grapefruit or wrapped trees the 5 first quarters of 1975 as well as worked in the asparagus harvest.⁸ 6 RAFAEL CASILLAS testifed that he was not a picker. It should be 7 noted that the picking of lemons and tangerines are harvested 8 differently than grapefruit and oranges. The former are clipped 9 with a special type of scissor requiring some degree of skill while 10 the latter are plucked by hand, requiring a different skill level.⁹

Testimony of RAFAEL CASILLAS indicates that RUBEN GARCIA the foreman who hired RAFAEL CASILLAS and the CASILLAS crew, knew that the members of the crew had been active in the UFW in Yuma in 1974 and they had participated in a strike at that time. Testimony shows that many of the employees of BROCK RESEARCH during this period had also participated in the 1974 Yuma strike.

The first week of the asparagus harvest there were no in 17 complaints about the packing of field boxes of asparagus.¹⁰ 18 However, later Garcia, the foreman, complained to the packers that 19 boxes were not fully packed. Garcia told RAFAEL CASILLAS that he, CASILLAS, should fill the boxes full ¹¹ as did FILIBERTO FUENTES, 20 an apparent assistant foreman. There was no testimony that other 21 than RAFAEL CASILLAS, any of the CASILLAS crew knew of the 22 complaints of inadequately packed boxes. FAUSTINO CUEVAS testified 23 the FILIBERTO FUENTES did complain about packing to RAFAEL 24 CASILLAS.¹² ALFONSO SURREAL, not a member of the CASILLAS crew, 25 testified that FILIBERTO FUENTES had complained to him two (2) or 26 three (3) times about the poor packing.

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Testimony was that the asparagus packing done at BROCK RESEARCH resulted in a much lower pack-out crate ration than had been experienced in the company before. It was in the low .40's. They used to be .65 for the whole season. DON BROCK testified that the pack-out ratio meant the number of field boxes that were used to pack a crate that was to be shipped out to a customer.

During February of 1976, in the middle of the asparagus harvest season, the UFW began an organizational effort. During that month usually two (2) organizers would meet with the workers asking for support and to .sign union authorization cards.¹³ LUPZ CORDOVA, a union organizer, testified that RUBEN GARCIA saw him talk of the RIOS crew; ALFONSO BULLREAL testified he knew RUBEN GARCIA saw him and his crew talking to the UFW organizers; GARCIA himself testified that he saw the SOTO crew sign authorization cards.

CORDONA testified that he visited the BROCK RESEARCH farm some four (4) times: The first visit, CORDONA and GARCIA discussed the lack of gloves for the asparagus workers and low wages of all of the crews. The second visit was with the CASILLAS crew and other crews. The third visit was with all the asparagus pickers, and a fourth meeting with the CASILLAS crew after work, at which time the CASILLAS crew testified they signed union authorization cards. FAUSTINO CUEVOS testified that he recalled only one (1) time that GARCIA saw the CASILLAS crew talking to the organizer.

The afternoon of the third meeting with CORDONA and the asparagus workers (February 21, 1976), RUBEN GARCIA announced that BROCK RESEARCH was raising the hourly pay to the workers from \$2.50 to \$3.00 per hour. The hourly rate in 1974/75 was \$2.41 per

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hour and in 1975/76 it was \$2.50 per hour. At the time of the announcement by GARCIA of the raise, testimony is given that he stated "Now here comes the Second Chavista." Testimony was given that the workers had complained of the \$2.50wage since the beginning of the season.¹⁴ DON BROCK stated that the raise to \$3.00 per hour had been contemplated for some time.

Approximately several days later , the asparagus crew went to a piece rate (i.e., rather than be paid for every hour worked, workers would be paid by the amount of asparagus cut and packed. The workers would be paid by the volume of -work as opposed to the hours worked.)

Testimony of witnesses indicate that this was the approximate time when the complaints began about the boxes not being properly packed.

On approximately March 3, 1976, the CASILLAS crew was laid off ¹⁵ and a contract company and crew, EL DON was brought in to continue the asparagus harvest.¹⁶ Not all asparagus workers were laid off as was the CASILLAS crew, they were given various jobs, including picking oranges, grapefruit, asparagus picking, weeding, spraying, irrigation, and general work. All workers, however, were taken from the asparagus picking on March 3, 1977. Some did, however, return in the middle of March ¹⁷ to harvest asparagus.

The reason given for the lay-off of the CASILLAS crew by RUBEN GARCIA, the foreman, was that the grapefruit was ready for harvest and the poor quality of the packing and the immediate future need of grapefruit pickers. He did not recall anyone in the CASILLAS crew having grapefruit picking experience, and he kept the cars which people rode in as a group who he recalled as having

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grapefruit picking experience and not particular individuals.¹⁸ Additionally, GARCIA testified that there was no seniority system as such at BROCK RESEARCH.

RAFAEL CASILLAS testified that GARCIA had told him that there were to many bugs in the asparagus and BROCK was not going to continue to harvest. All of the members of the CASILLAS crew who testified state that that was what CASILLAS told them that GARCIA had said. GARCIA denied making the statement.

The next day, members of the CASILLAS crew saw asparagus workers picking oranges.

GILBERTO PENA asked GARCIA the day after the discharge whether there was any work for him. GARCIA replied that he didn't have- any more work but that in a few days he, GARCIA, might have work picking oranges or something, but nothing presently.²¹

Prior to the termination of the CASILLAS crew on March 1, 1976, the crew that testified signed union authorization cards. This event occurred one day after work in RAFAEL CASILLAS' camper. UFW organizers CORDONA and KIRKLAND were present. The crew, except for two, were inside the back part of the camper pickup. While inside the camper, they signed the cards. While the crew was discussing the union and/or signing the cards, RUBEN CHAVEZ, an employee of Brock Research and a member of another crew, drove past them on a road which was across an irrigation canal from the CASILLAS camper.²¹ CASILLAS crew members could not testify as to whether or not CHAVEZ saw them sign cards or not and CHAVEZ testified he could not tell what was going on inside the camper. He did recognize the two (2) UFW organizers standing outside the camper.²² There was no credible testimony indicating RUBEN GARCIA saw the

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the events at the CASILLAS camper.²³ All of the members of the CASILLAS crew which testified at

the hearing denied key and substantive matters in the declarations which had been filed on their behalf by the UFW. The declarations, therefore, are not used for consideration or determination in

the decision of the Hearing Officer.

ANALYSIS AND CONCLUSION

RESPONDENT VIOLATED SECTION 1153(a) OF THE ACT BY PROMISING AND PROVIDING A PAY INCREASE OF \$2.50 TO \$3.00 AN HOUR ON FEBRUARY 19, 1976.

NLRB precedent states clearly that a wage increase can be a violation of the law if its intent or effect is to interfere with the organizational rights of workers. Rupp Industries, vs. NLRE (1975) 55 LRRM 2098; International Shoe vs. NLRB (159) 43 LRRM 2093. In many cases, the increase occurrred just prior to representation election, a violation found and the election set aside. In other cases, the wage increase occurrred during a union organizational drive and was nonetheless considered a violation of the Act. NLRB v. Aircraft Corp.'(1972) (CA, 5) 81 LRRM 2613; NLRB v. WKRG-TV, Inc. (1973) 83 LRRM 2146. Even wage increases after an election were held to be in violation NLRB v. Furnas Electrical Co. (1972) 80 LRRM 2836 (CA,7). It is not necessary for there to be any threats made at the time of the increase or for the increase to be conditioned upon non-participation of employees in union activity for an increase in benefits to be a violation. Exchange Parts, (supra).

The Courts, generally, have held that an increase in wages or benefits made during an organization campaign is presumed to have been done with the intent of interference with the employees

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right of free choice. Consequently, when such an increase occurs during an organization campaign or just prior to an election, the employer has the burden of explaining such:

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RESEARCH

"The mere grant of benefits during the pendency of an election petition raises a presumption of impropriety unless satisfactorily explained by the employer." Rupp Industries, supra.

The ALRB has once decided the effect of an employer's promise of benefits to his employees made during a vigorous campain in <u>Hansen Farms v. UFW</u>, 2 ALRB No. 61. The Board adopted the "economic realties" analysis found in NLRB cases. The first issue is whether the increase was an unfair use of the employer's economic position. If so, did it interfere with protected employee rights? The analysis of the Hansen case can be used to decide whether a wage increase interfered in the employee's right to participate or not in the organizing drive occurring at BROCK RESEARCH.

In BROCK, the increase occurred, February 19, during the heat of the UFW organizing drive there. Respondent explained that he made the increase to equalize the rates at BROCK RESEARCH and SIGNAL PACKING, a brother company in Imperial County and because he was afraid of losing workers at BROCK when SIGNAL PACKING change to piece rate on February 19. Timing and other circumstantial evidence can be used to prove intent. Exchange Parts supra.

Asparagus pickers in and around Calexico and including BROCK RESEARCH'S other company, SIGNAL PACKING, were receiving \$3.00 hourly rate. Workers in and around Winterhaven, Yuma and San Luis, Arizona were receiving \$2.50 hourly rate. BROCK

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1 is generally closer to Arizona than Calexico and historically, 2 has had a pay scale with the Yurna area (DON BROCK, RUBEN GARCIA 3 testimony). RUBEN GARCIA testifed that the workers had been com-4 plaining about the wage difference since the beginning of the season 5 and that he had taken the complaint to DAVID BROCK. DON BROCK 6 testified that he had, in the back of his mind, been thinking about 7 it and intending to equalize rates at his two (2) companies for some 8 time. He further explained that the increase went into effect 9 February 19 because workers at SIGNAL went to piece rate that day. 10 The keys to understanding the intent behind the increase 11 are the amount of increase, the timing, and the events which occurred: 12 at the time of its announcement. In 1975, the hourly rate for 13 picking asparagus was \$2.41. In 1976 it was increased by \$.09 to In this context, a \$.50 raise is highly suspect. RUBEN 14\$2.50. 15 CHAVEZ who has been with BROCK RESEARCH ten (10) to twelve (12) 16 years, did not remember if there had ever been an increase in the 17 asparagus rate of more than \$.20, much less \$.50. As stated in 18 Exchange Parts, supra:

> "The danger inherent is well-timed increases in benefits is the suggestion of a fist inside the velvet glove. Employees are not likely to miss the inference that the source of benefits not conferred is also the source from which the future benefits must follow and which may dry up if is not obliged."

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If workers really are accustomed to different rates, as DON BROCK testifies, and he merely wanted to decrease the risk of their leaving when the Calexico area went piece rate, he could have raised the wages slightly. The facts indicate the raise to \$3.00 was to suggest to the workers that they don't need a union to get union wage rates. RUBEN GARCIA testified that at the time of the

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announcement, GILBERTO PENA stated, "With that salary, why do we need a union?"

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DON BROCK testifed that he had been thinking about equalizing the rates for a while. The timing appears based upon the fact that BROCK had thought many times about the wage differentials in his two (2) companies, but understood it could exist because of the different labor pools. To preclude the union affiliation, it appears BROCK RESEARCH granted a wage increase during the organizing drive. If BROCK RESEARCH seriously wanted to equalize the rates,

10 he would have done so before the SIGNAL workers changed to piece 11 rate for it to have optional impact on workers and it would have 12 lasted for more than one day. The timing is further suspect because it 13 occurred the same day of and only two hours after the UFW noon meeting 14 with workers at which RUBEN GARCIA was present (CUEVAS testimony). (It 15 is important to note that workers at BROCK 16 RESEARCH and Winterhaven/Yuma generally live in Arizona which has 17 a different minimum wage law, etc., than California.)

When RUBEN GARCIA announced the pay raise, he first presented himself as the "Second Chavista" and then announced the "good news" of the raise. (CUEVAS, MOLINA and PENA testimony is credible on these statements.) Such a label clearly indicates the intent behind it—that the company was presenting a counter-offer. In effect, it was saying to workers: The Union offered you various things at noon, the Company is presenting you immediately with a substantial raise—whose offer do you accept? The workers testified that RUBEN GARCIA made various statements regarding the unions at the announcement. The workers stated that they understood the raise to be a substitute for union affiliation (CUEVAS, MOLINA

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and PENA testimony is also credible in these statements.) RAFAEL CASILLAS, who was told of the raise individually by RUBEN GARCIA, understood the same thing.

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RUBEN GARCIA testified that he did not participate in or make recommendations, concerning the decision to raise the wages. DON BROCK testified that he made the ultimate decision for the raise. He stated he talked with DAVID, his brother and manager of BROCK RESEARCH, but does not remember the conversation specifically. He does not remember an organizing drive at BROCK RESEARCH. Respondent did not call DAVID BROCK as a witness, even though he is the manager of BROCK RESEARCH and RUBEN GARCIA's boss. DON BROCK's lack of memory regarding the decision to raise the wages, the absence of an explanation by DAVID BROCK and the other evidence presented above indicate persuasively that the \$.50 raise was instituted for the purpose generally of interfering with the workers' free choice regarding unionization.

> RESPONDENT VIOLATED SECTION 1153(a) OF THE ACT BY STATING AND IMPLYING THAT THE WORKERS WOULD LOSE THEIR JOBS- IF THE UFW ORGANIZED SUCCESSFULLY AT BROCK RESEARCH.

Section 1153(a) of the ALRA, which corresponds exactly with Section 8(a)(1) of the NLRA provides that it is an unfair labor practice for an employer to "interfere with, restrain or coerce agricultural employees" in the exercise of their rights under Section 1152 .to "form, join, or assist labor organizations" or to refrain from so doing. Section 1155 of the ALRA,. protects an employer's right to free speech only to the extent that his statements do not contain any "threat of reprisal or force, or promise of benefit." Free speech does not protect statements which are

-12-

implied threats of reprisal. Speech need not contain an explicit threat 1 of loss of employment for the Act to be violated. Cooks United, Inc., 208 NLRB No. 16 (1974), 85 LRRM 1071.. Statements made must be analyzed in their context because workers are not pebbles in alien juxtoposition; but take their meaning from their surroundings, quoting Justice Learned Hand in NLRB v. Featherbank Company, Inc., 121 F2d 254; Spartaca Corp., 195 NLRB No. 17, 79 LRRM 1351 (1972.)

8 The statement made by RUBEN GARCIA at the time of his 10 9 announcement of the \$.50 raise violated 1153(a). The entire 10 incident occurred only two (2) hours after a UFW lunch meeting with 12 11 workers. RUBBN GARCIA began by calling himself (i.e., the company) the 12 "Second Chavista" and announcing a raise. Then he stated, in fact or in effect, that the boss didn't want problems with a union, the boss wanted 13 14 to stay free (CUEVAS, MOLINA and PENA testimony is credible), the boss 15 didn't want the workers to sign authorization cards (CUEVAS, MOLINA testimony is credible), that the workers would lose work if the UFW won 16 17 (MOLINA, PENA testimony is credible). Given the context in which they were made, the statements became a threat of reprisal to the workers. 18

> RESPONDENT DID NOT VIOLATE SECTION 1153 (c) OF THE ACT, AND DERIVATIVELY SECTION 1153(a), BY DISCHARGING GILBERTO PENA, RAFAEL CASILLAS, JESUS CASILLAS, CASILLAS, HECTOR VILLALOBOS, JUAN PEDRO MARCOS MOLINA AND FAUSTINO CUEVAS.

The California Agicultural Labor Relations Act of 1975 Section 1153(c) states:

> "By discrimination in regard to the hiring or tenure of employment", or any term or condition of employment to encourage or discourage membership in any labor organization."

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and Section 1153(a):

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"It shall be an unfair labor practice for an agricultural employer to do any of the following:

"(a) To interfere with, restrain, or coerce agricultural employees in the exercise of the rights guarantee in Section 1152."

The proof required to show a 1153 (c) violation of 6 Agricultural Labor Relations Act is the same as required under the Labor Management Act , Section 8 (a) (3) . In proving that the discharge violated LMRA 8(a)(3) or ALRA 1153(c), the Board has the burden of showing that the discharge: (1) constituted discrimination (2) was motivated by an intent to encourage or discourage union membership, and (3) actually resulted in encouraging or discouraging union membership. In regards to 8(a)(3) Unfair Labor Practices, the U.S. Supreme Court said ". . .this section does not outlaw the encouragement or discouragement of membership in labor organizations only such as is accomplished by discrimination is prohibited. Nor does this section outlaw discrimination in employment as such; only such discriminiation as encourages or discourages membership in a labor organization is proscribed." <u>Radio</u> <u>Officers v. NLRB, US Sup Ct.</u>, 1954, 33 LRRM 2417.

Under LMRA Section 8(a)(1) and ALRA 1153(a), on the other hand, it is necessary only to show that the discharge interfered with the employees' right to engage in concerted activities for their mutual aid or protection.

To establish a discriminatory discharge of an employee for engaging in union organizing or other protected concerted activity, it must be shown that the employer knew that the employee was so engaged. <u>NLRB v.</u> Whitin Machine Works, CAl, 1953, 32 LRRM 220:

-14-

An employer is under no duty to determine whether a given employee engaged in concerted activity prior to a discharge. NLRB v. Westinghouse Electric Corp., CA6, 1949, 25 LRRM 2247.

The NLRB's general counsel "has the burden of proving this 'employer' knowledge. . .and it is not sufficient that proof be based on suspicion or surmise." <u>NLRB v. Shen-Valley Packers,</u> CA4, 1954, 33 LRRM 2769.

An employee "may be discharged by the employer for good reason, a poor reason, or no reason at all, so long as the terms of the statute are not violated." <u>NLRB v. Condenser Corp.</u>, CA3 1942, 10 LRRM 483.

The U.S. Supreme Court said the Act "permits a discharge for any reason other than union activity or agitation for collective bargaining." <u>Associated Press v. NLRB</u>, 1937, 1 LRRM 732, also <u>Radio Officers Union v. NLRB, NLRVN, v Teamsters (Gaynor</u> News Company), US Sup.Ct., 1954, 33 LRRM 2417.

One court noted that an employee's "union activity. . . in itself" is no bar to discharge so long as the discharge isn't motivated by the desire either to discourage union membership or to encourage membership in a particular union. <u>NLRB v. Williams</u> <u>Lumber Company</u>, CA4, 1962, 29 LRRM 2633, cert. den. US Sup. Ct., 1956, 1952, 30 LRRM 2712.

From the preceeding case law, it is abundantly clear that BROCK RESEARCH did have the right to terminate or lay off any or some of the asparagus crew as long as such an action did not interfere with the rights of the agricultural workers as provided for in the Act.

The testimony of DON BROCK, RUBEN GARCIA and FUENTES is

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is credible that the entire asparagus crew was not picking and packing the asparagus efficiently as had been done in the past

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and at other ranches; and that the packers had been warned to pack more efficiently. " A discharge for inefficiency during a union organizational drive is not necessarily biased. <u>NLRB v. Materials</u> <u>Transporation Co.,</u> 412 F2d 1074, 1078, 71 LRRM 2930 (CA5, 1969). If an employee is inefficient his engagement in union activities does not alone destroy the just cause for the discharge. <u>NLRB 9</u> <u>vs. Birmingham Publishing Co.,</u> 262 F2d 2, 9, 43 LRRM 2270 (CA5, 195S). The employer did not violate the LMRA when it discharged three (3) piece rate employees who were known union adherents for their low production. NLRB v. Bogart SportswearMfg.Co., 84 LRRM 2311 (1973).

Discharge of known union adherents did not violate the Act since the evidence does not establish that the discharge was for union activities rather than for cause, it appearing that the employee failed to meet production standards. <u>Vermeer Mfg. Co.</u> (187 WLRB, 1971), 76 LRRM 1335, see also <u>Aircraft Hydro-Forming</u> Inc., 22 NLRB 117 (1975), 91 LRRM 1027.

The next issue is whether the failure to give the CASILLAS crew jobs in other areas of BROCK RESEARCH'S ranch shows sufficient facts to warrant a charge of an unfair labor practice.

Testimony of GARCIA is credible that he was familiar with CASILLAS' crew as "tree wrappers" rather than grapefruit pickers. He further testified the grapefruit was nearly ready for harvest and he wanted to keep as many grapefruit harvesters at the BROCK RESEARCH ranch as possible. The summary records does indicate most of the asparagus crew that remained after March 1

-16-

either worked in oranges and/or grapefruit. The majority of the 1 CASILLAS crew did not have such experience. Further, CASILLAS and 2 3 GARCIA both testified, in effect, that crews are usually hired by 4 Inasmuch as there was no formal seniority system, and the cars. 5 foreman had to make a decision based upon what he knew his immediate 6 future needs were, there is a rational basis for believing he had a 7 business reason for the discharge of the CASILLAS crew. PENA did have 8 substantial seniority with BROCK RESEARCH but at that time there was no 9 arrangement that guaranteed PENA. a job over less senior people. In 10 Central Engineering and Construction Company, 200 NLRB 71 (1972), 82 11 LRRM 1413, it was deter mined that ". . .[E]ven though other drivers 12 who had less seniority than the laid off drivers were retained, the 13 layoff was effectuated in accordance with the employer's practice of 14 (1) laying off an entire crew, and (2) retaining the best men 15 available. (emphasis added) .

The employer lawfully laid off four employees although the employer did not select the employees for layoffs on the basis of seniority, since it was not obligated to do so. <u>Metzger Machine and</u> Engineering Company, 209 NLRB 905 (1973) , 86 LRRM 1229

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The record and testimony are clear in showing that BROCK RESEARCH, through its agents, was well aware of the UFW activity.

RUBEN GARCIA saw UFW organizers talking to the entire asparagus crews, as well as seeing individual asparagus crew members not CASILLAS' crew, though, sign authorization cards. Those members of CASILLAS' s crew which testified at the hearing indicated that other than signing a union authorization card, which GARCIA did not see or know about, as far as they know, and participate

-17-

in general discussion with UFW organizers as did other members of ' asparagus crews. ALPHONSO BURREAL testified that no one in the asparagus crews had any more conversations with UFV7 than any other workers. (BURREAL's testimony is credible.) The evidence and testimony indicate that CASILLAS engaged in no more union activity than any other crew. The evidence and testimony indicate that other crews were much more open about their union activities.

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Inasmuch as the CASILLAS crew did not do anything different than the other crews, it is important to determine if the employer knew, either directly or indirectly, .'that CASILLAS¹ crew signed authorization cards and that was the reason for the discharge.

The discharge of an employee who had signed the union authorization card was lawful, even though knowledge of the employer can be imputed, since there is no evidence that the employer was actually informed of it; there was no proof of the employer's animus toward the employee; and the employee had been warned of the employer's dissatisfaction with his work. Hyster Co., (CA8 (1972), 80 LRRM 2358.

When RUBEN CHAVEZ drove by the day the CASILLAS crew signed the cards, CHAVEZ testified he could not see what was going on. He could only see the UFW organizers outside the back of the pickup. General Counsel., in the post hearing brief, acknowledges that CHAVEZ contined past the truck ". . .without his curiosity completely 'satisfied."²⁵ As previously noted, there was on credible testimony that indicated RUBEN GARCIA ever saw the activates at the camper.²⁶

-18-

General Counsel request that the Admistrative Law Officer infer that the employer had knowledge from the travels of CHAVEZ. There was no evidence presented that CHAVEZ ever told GARCIA of the camper incident. As a matter of fact, both parties testifed that there, in fact, had been no discussion.

There must be some evidence presented to even infer that there was some communication between CHAVEZ and GARCIA other than the suspicions of the signatory. If General Counsel had presented some evidence, other than the testimony of MARCOS CASILLAS, that would support the theory contained in footnote 7 of the Post Hearing Brief then there would at least be some factual basis for finding or at least inferring the employer had knowledge of the CASILLAS crew signing UFW union authorization cards on February 26, 1976.

Further, there is nothing in the record nor in the testimony that indicates the employer's agent, RUBEN GARCIA, had any animus or hostility to the members of the crew.

There is testimony that GARCIA did, in fact, go to CASILLAS' home with PENA to ask RAFAEL CASILLAS to come to work in November, However, it was on the recommendation of PENA that GARCIA go to CASILLAS' home. Other than three (3) weeks in 1973 when RAFAEL CASILLAS was packing nursery trees, there is no evidence that GARCIA knew of CASILLAS' performance to that November he was hired, as General Counsel alludes to in footnote 3 of that Post Hearing Brief.²⁸

Additionally, PENA, who was present at the time the job offer was made to CASILLAS, testifed that there was not an offer of a permanent job, just a general job, and at that time, BROCK apparently had work.²⁹

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Lastly, the disbursement of all asparagus workers at the same time does not indicate any animus against the CASILLAS crew.

The employer lawfully laid off and then discharged an employee. Finding that the employer knew of the employee's union activities is based on a mere inference contrary to the employer's testimony, found credible by the trail examiner. <u>Hachett Precision Co.</u>, (CA6, 1972), 79 LRRM 3025.

The employer did not violate the LMRA when it failed to recall a laid off employee since the evidence does not establish that the reason was for union activites. (1)Employee's union activities were not exceptional and there is no direct 'evidence that the employer knew of them; (2) the employer had no openings at the time when the employee applied for re-employment; and (3) although the employer eventually did hire a new employee to do the work which the laid off employee allegedly could have done, the laid off employee had not applied for re-employment for several months preceding the hiring of the new employee, and the evidence did not establish that the employer had a policy or practice of seeking to re-employ laid off personnel before hiring other employees to fill sales positions in the store. F. W. Woolworth Co., (204 NLRE 55, 1973), 83 LRRM 1621.

REMEDY AND ORDER

It is respectfully submitted that the evidence supports the allegations in the Second Amended Complaint and requires a finding that respondent committed the unfair labor practices as found.

Accordingly, the remedy and order shall be:

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1. Respondents, their officers, their agents, and representatives, shall cease and desist from:

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a. Discouraging membership of any of its employees in the -United Farm Workers of American AFL-CIO, or any other labor organization, by unlawfully promulgating and enforcing a rule against union activities or, in any other manner discriminating against individuals in regard to their hire or tenure of employment or any term or condition of employment, except as authorized in Section 1153(c) of the Act;

b. In any other manner interfering with, restraining and coercing employees in the exercise of their right to self organization, to form, join or assist labor organizations, and to engage in other concerted activities for the purpose of collective bargaining or other mutual aid or protection, or to refrain from any and all such activities except to the extent that such right may be affected by an agreement requiring membership in a labor organization as a condition of continued employment as authorized in Section 1153(c) of the Act;

2. An order requiring a public reading of a "Notice to Employee" by the respondent in the presence of *a* Board agent to its employees during the next harvest season, stating that the respondent will not engage in the conduct herein complained of, the manner, method and substance of which to be decided by the Board. The public reading shall be in English and Spanish.

3. An order, requiring the respondent to post in writing the terms of the Board's Order in a "Notice to Employees" as provided by the Regional Director in English and Spanish referred to herein above in paragraph 2 in conspicuous places on the

-21-

respondent's property for no less than sixty (60) days during the next harvest season.

4. An order requiring the respondent to mail the "Notice to Employees" referred to herein above in paragraph 2 to the last known home address of all harvest season employees.

5. An order requiring periodic reports by the respondent to the designated agent of the Board, under penalty of perjury, illustrating compliance with the Board's order;

With respect to the General Counsel's request that respondent read the contents of the notice to its employees, the existence of significant illiteracy and semi-literacy among agricultural employees necessitates the adoption of such oral communication in order to provide an effective remedy. The Board has already recognized that there exists among agricultural employees a significant degree of illiteracy and semi-literacy. See <u>Samuel v. Verner Company</u>, 1 ALRB No. 10, p. 10-11 (1974). Additionally, such means of communication are especially necessary in the agricultural industry in order to remedy the violations, since employees are employed for such relatively brief periods of time.

Although the National Labor Relations Board generally requires that respondent merely post copies of the "Notice to Employees" in conspicuous places, the NLRB has consistently found, in circumstances where there is a significant number of illiterate employees, that it is necessary that the notice be read and mailed to employees, in order to effectively inform them of its contents. <u>Bush Hog, Inc.</u>, 161 NLRB 1757, 63 LRRM 1501, enf'd 405 F.2d 755, 70 LRRM 2070 (5th Cir., 1963); <u>Texas Electric Cooperatives, Inc.</u>, 160 NLRB 440, 62 LRRM 1631 (1966) enf'd.,

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398 F2d 722, 68 LRRM 3008, 3123 (5th Cir., 1968). Laney and Duke Storage Warehouse Co., 151 NLRB No. 28, 58 LRRM 1398, 1393 (1964); Jackson Tile, 122 NLRB 764, 43 LRRM 1195 (1958), <u>Marine Welding and Repair Works v.</u> <u>NLRB, 439 F2d, 76 LRRM 2661 (8th Circ., 1971), enforcing 174 NLRB No.</u> 102, 70 LRRM 1329 (1960). Moreover, this remedy is particularly appropriate to the facts of the present case, in which the respondent itself utilized the delivery of speeches to the employees as a method of communicating with them.

Dated: June 17, 1977

AGRICULTURAL LABOR RELATIONS BOARD

By: PHILLIP M. SIMS Administrative Law Officer

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2	1.	FOOTNOTES
3	2.	General Counsel's Exhibit, G.C. 9.
4	3.	General Counsel's Exhibit, G.C. 3.
5	4.	General Counsel's Exhibit, G.C.9
6		Testimony of RUBEN GARCIA, RAFAEL CASILLAS
7	5.	JESUS CASILLAS and HECTOR VILLALOBOS did not appear nor testify at
8		the hearing as to what union activities they engaged in or to offer any other evidence of an unfair labor practice.
	6.	See Exhibit Summary of Work History of Employees at Brock
9	7.	Research, provided in correspondence dated March 4, 1977.
10		Ibid.
11	8.	Ibid.
12	9.	Testimony of RUBEN GARCIA, Foreman.
ΤZ	10.	'RAFAEL CASILLAS' testimony.
13	11.	Ibid.
14	12.	CUEVAS testimony.
15	12.	Testimony of LUPE CORDOVA and RAFAEL CASILLAS.
16	13.	Testimony of GARCIA and R. CASILLAS
17	14.	Testimony of all witnesses.
18	15.	Testimony of GARCIA, CASILLAS, and BROCK
19	16.	See Exhibit Summary of Work History of Employee at Brock
20	17.	Research, provided in correspondence dated March 4, 1977.
21	18.	RUBEN GARCIA testimony.
22	19.	Ibid. (There was also conflicting testimony as to whether RAFAEL CASILLAS had asked for \$15.00 for gas as opposed to
23		accepting \$5.00 for gas, the amount that was paid to other drivers which brought crews to work.)
24	20.	Testimony of PENA and GARCIA.
25	21.	Testimony of CHAVEZ, CASILLAS crew who testified.
26	22.	CHAVEZ testimony
27	23.	-
28	2	The testimony of MARCOS CASILLAS stating he saw RUBEN GARCIA in his truck come towards the CASILLAS camper and then back up is not credible.

- 1 24. RAFAEL CASILLAS, FUENTES, GARCIA and BULLREAL testimony.
- 2 25. General Counsel's Brief, page 7, line 22.

3 26. MARCOS CASILLAS alone testified, with no prior or subsequent corraboration by other witnesses, that he saw RUBEN GARCIA come towards the pickup and then back up. This particular witness's entire testimony was highly suspect and required, at the time, a discussion of the penalties of perjury. Even if his testimony should be given weight, the physical relationship of the two trucks would preclude any visible means of determine who was in the back of the truck much less what was being done in the back of the enclosed camper.

- 8 27. General Counsel's Post Hearing Brief, page 8, line 19-28.
- 9 28. General Counsel's Post Hearing Brief, page 10, line 22-28.
- 10 29. There was testimony that indicated the CASILLAS sons left a job at Interharvest to take the job at BROCK, but testimony indicated that the particular job they were doing, weeding and trimming lettuce, was nearly at an end.